

ISTA-Guideline for Publications resulting from Horizon Europe projects

All projects funded by Horizon Europe (HE - EU Framework Programme for Research and Innovation) need to follow the rules on publications defined in the Grant Agreement. The purpose of this guideline is to provide an overview of HE publication rules as applicable to ISTA.

OPEN ACCESS (OA) REQUIREMENTS:

The European Commission **requires and supports** all HE-funded authors to **make their peer-reviewed research results open access** (i.e. free of charge, online access for any user). This can be fulfilled by using either the **Gold OA** option or **Green OA** option.

In HE, the **OA publication costs are only eligible and reimbursed by the European Commission, when publishing in full open access publishing venues** (Gold OA) until the project end date (not afterwards).

To help you find publishing venues that comply with HE open access requirements, you can use:

- [Journal Checker Tool](#): shows if a selected/desired journal is compliant with HE obligations
- [DOAJ](#) (Directory of Open Access Journals): helps identify full Open Access journals
- [ORE](#) (Open Research Europe): Open Access publishing platform provided by the European Commission, includes automatic compliance with HE requirements

Gold OA Option

The European Commission supports publishing in a **fully open access journal** (see the [Directory of Open Access Journals \(DOAJ\)](#)).

Additionally, even if you are publishing in a Gold OA journal, open access has to be provided via a repository. Remember to deposit the publication in a repository **at the latest on the date of publication**. For ISTA researchers, it is sufficient to add the DOI as new publication in ISTA Research Explorer (ISTA library team will enrich the entry).

The **costs** of publishing in a Gold OA journal are **eligible** for HE projects, if incurred **during the duration** of the **project**.

Please note that for HE-funded publications, **the obligation to provide open access continues after the end of the project (although publishing costs are only covered during the project)!**

In case you want to publish in a Gold OA journal and there is no other funding option, you can apply for the internal [ISTA OA Fund](#) (for a contribution up to 50% of the total OA costs).

Green OA Option

In order to provide open access to your publication in a subscription journal, you have to retain sufficient copyrights to self-deposit the **accepted** manuscript with a CC BY licence in a trusted repository. Make sure to deposit always **before or (latest) at the date of publication**. For HE funded publications, **no embargo** is allowed – immediate open access through a repository to the **final peer-reviewed manuscript accepted** for publication has to be provided!

ISTA researchers should **deposit their publications in the institutional repository [ISTA Research Explorer](#)**. Additionally for **ERC grants**, the use of **subject-specific repositories is strongly recommended**, e.g. [PubMed Central](#) for publications in the Life Sciences or [arXiv](#) for publications in the Physical Sciences and Engineering.

Bibliographic metadata should be in line with the FAIR principles and contain:

- a. Publication/data author(s) including ORCID iDs
- b. Publication title
- c. Date of publication
- d. Publication venue
- e. Persistent identifier (e.g. DOI)
- f. Horizon Europe funding
- g. Grant project number
- h. Acronym and number
- i. Licensing terms

All metadata must be open access under a CC0 license to ensure its reusability (provided by ISTA REx).

RIGHTS RETENTION

In order to retain **copyright** on the **Author Accepted Manuscript (AAM)** please add the name of the funder as well as the grant number in the acknowledgements. Add this text to the **first submitted version** to indicate that any AAM arising from this submission is already licensed CC BY:

“This work was funded by [funder name] [grant number]. For the purpose of Open Access the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.”

Research Data Management

In HE, you have to submit a data management plan (DMP) and deposit your data in a trusted repository (as open as possible, as closed as necessary, at least the metadata have to be open access). The **DMP** is a **mandatory** project deliverable (due within 6 months after grant signature) which has to be updated mid-project and at the end of the project. Ideally, it is a living document updated over the course of the project.

Research Data

Data underpinning a scientific publication should be deposited at the latest at the time of publication. In order to comply the HE regulations, research data must be either licensed

- under a Creative Commons Attribution International Public License (CC BY) requiring attribution of authorship, or a license providing equivalent rights or
- under a Creative Commons Public Domain Dedication (CC0) or equivalent (which waives any rights to the data)

You have the **possibility to deposit your research data in the institutional data repository [ISTA Research Explorer](#)** where it will be linked to your publication and provided with a persistent identifier (DOI). If you deposit the data before the publication, you can in addition cite the data DOI already in the paper.

SUMMARY

Before you submit your HE funded paper, check the publishing venue:

- publish in a full OA journal – if APC are required, they are eligible from your HE grant during the project duration
- publish in ORE – platform provided by the EC
- publish OA in a hybrid journal – pay APC from your own budget (not eligible via HE grant, not eligible for ISTA OA fund!)
- publish in a closed journal and retain the right for immediately deposit the Author Accepted Manuscript!
- Research data underpinning an article have to be publish – at least as metadata entry (as open as possible, as closed as necessary)
- DMPs are mandatory

References:

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/aga_en.pdf